

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY

WASHINGTON, D.C. 20460

OFFICE OF ENFORCEMENT AND COMPLIANCE ASSURANCE

March 29, 2013

JIN MO NO SMI USA 13079 ARTESIA BLVD, STE B112, CERRITOS, CA US 90703

Re: Foreign Notice ID: 002-2-1-2013-030

EPA Notice ID: 006420/2E/13

Dear JIN MO NO:

Please find enclosed the Acknowledgment of Consent (AOC) for SMI USA, CERRITOS, CA to export 1000 Metric Tons - (2, 204.6 pounds/ 1, 000 kg) of Spent Lead Acid Batteries:LEAD ACID BATTERY SCRAP WITH ACID, NON-SPILLABLE (EPA hazardous waste numbers D002, D008) to the following foreign receiving facility: NFT, #568-2, GANGJU-RI, BEOPSU-MYEON, HAMAN-GUN, GYEONGSANGNAM-DO, KR and foreign importer: SEJONG MAJOR INTERNATIONAL CO., LTD, 661-10 SEONGGOK-DONG, DANWON-GU, ANSAN-SI, GYEONGGI-DO, KR This AOC letter is valid from March 21, 2013, to February 19, 2014.

The EPA Waste Import and Export Tracking System ID assigned to this notice is 006420/2E/13.

You will also find enclosed special instructions for the export of hazardous waste. If you have any questions regarding this correspondence, please contact Jana Tatum at telephone: 202-564-4269, telefax:, or email: tatum.jana@epa.gov.

Sincerely,

Robert G. Heiss, Director

International Compliance Assurance Division

Robert & Heiss

ACKNOWLEDGMENT OF CONSENT

March 29, 2013

COMPANY

QUANTITY

SMI USA CERRITOS, CA 1000 Metric Tons - (2,204.6 pounds/ 1,000 kg)

This document will serve as the EPA Acknowledgment of Consent for SMI USA, CERRITOS, CA to export 1000 Metric Tons - (2, 204.6 pounds/ 1, 000 kg) of Spent Lead Acid Batteries: LEAD ACID BATTERY SCRAP WITH ACID, NON-SPILLABLE (EPA hazardous waste numbers D002, D008) to foreign receiving facility NFT, GYEONGSANGNAM-DO, and foreign importer SEJONG MAJOR INTERNATIONAL CO., LTD, GYEONGGI-DO, . This CONSENT is VALID for the period of March 21, 2013 through February 19, 2014.

Please be advised that a copy of this CONSENT must accompany each shipment of hazardous waste.

Please be advised of the following special RCRA requirements for export shipments of hazardous waste to OECD countries other than Canada or Mexico for recovery. These requirements are found at Title 40 Code of Federal Regulations, Part 262, Subpart H – Transboundary Movements of Hazardous Waste for Recovery within the OECD..

- 1. If the major terms of the original approved notice should change, you must renotify EPA because the original consent will not cover shipments made under the changed terms. Please send your renotification to: Environmental Protection Agency, Office of Enforcement and Compliance Assurance, Office of Federal Activities, International Compliance Assurance Division (2254A), 1200 Pennsylvania Avenue, N.W., Washington D.C. 20460, "Attention: OECD Export Notification" prominently displayed on the front of the envelope. (§262.83(b)). 2. A movement document containing all information required for notification as well as additional items specific to each shipment (§262.84(b)) must accompany each shipment from the
- additional items specific to each shipment (§262.84(b)) must accompany each shipment from the initiation of the shipment within the United States until it reaches the foreign recovery facility. (§262.84(a))
- 3. You must file an exception report with the Office of Enforcement and Compliance Assurance,

Office of Federal Activities, International Compliance Assurance Division (2254A), Environmental Protection Agency, 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20460, if within ninety (90) days from the date the waste was accepted by the initial transporter, you have not received written confirmation from the foreign recovery facility that the hazardous waste was received; or if the waste is returned to the United States. (§262.87(b))

4. You must file an annual report by March 1 of each year with the Environmental Protection Agency, Office of Federal Activities, International Compliance Assurance Division (2254A), 1200 Pennsylvania Avenue, N.W., Washington, D.C. 20460. (§262.87(a))

All shipments of hazardous waste must conform to all applicable state and federal hazardous waste regulations and transportation requirements, as well as these specific export requirements.

Any questions you may have concerning this Acknowledgment of Consent or other export requirements may be directed to Jana Tatum, EPA, Office of Enforcement and Compliance Assurance, Office of Federal Activities, International Compliance Assurance Division (2254A), telephone number 202-564-4269, fax number, tatum.jana@epa.gov.

Notification Document for Transboundary Movements/Shipments of Waste 3. Notification N°: 6420 2E 13 1. Exporter Registration No. Name: SMI USA Notification concerning Address: 13079 Artesia Bl, Ste B112, Cerritos, A. (i) Individual shipment: (ii) Multiple shipment: [X] CA 90703 USA B. (i) Disposal (1): Recovery: C. Pre-consented recovery facility (2;3) Contact person: Jin Mo No Yes No Tel: 1-562-926-9955 Fax: 1-562-926-9955 4. Total intended number of shipments. Email: mhcho2007@hotmail.com Total intended quantity (4): 10,000 MT 2. Importer Registration N°: 105-86-18226 Tonnes (Mg): Name: Sejong Major International Co., Ltd. m3 Address: M2002, Songdo IT Center, 172-1 Songdo-dong 6. Intended period of time for shipment(s) (4): 2014.02.19 Yeonsu-gu, Incheon, South Korea First departure: 2013.02.20 Last departure: 7. Packaging type(s) (5): Contact person: Mr. Yong lk Hwang Tel: 82-32-851-8885 Fax: 82-32-851-8868 Special handling requirements (6): Yes: 11. Disposal / recovery operation(s) (2) 8. Intended carrier(s)Registration N°: D code / R code (5) : R4 Name(7): FAMEX Sea & Air Co., Ltd. Technology employed (6) Address: Rm 410, YWCA Bldg., 1-3, 1 GA Myung Dong SEE ANNEX (2) Chung-gu, Seoul, South Korea Contact person: Reason for export (1;6): Recycling Tel: 82-2-730-3033 Fax: 82-2-730-3032 12. Designation and composition of the waste (6): Email: famex@famexshipping.com Means of transport (5): Road, Sea With acid, non-spillable 9. Waste generator(s) (1:7:8) Registration No: LEAD ACID BATTERY SCRAP Name: Address: SEE ANNEX (1) 13. Physical characteristics (5): Contact person: 14. Waste identification (fill in relevant codes) Tel: Fax: (i) Basel Annex VIII (or IX if applicable) A1160 Email: (ii) OECD code (if different from (i)): A1160 Site & process of generation (6) 10. Disposal facility (2): or Recovery facility (2): x (iii) EC list of wastes: (iv) National code in country of export: DODS, DODZ Registration N°: 603-81-65077 Name: NFT (Non-Ferrous Metal Technology) (v) National code in country of import: 02-01-02 Address: 568-2 Gangju-Ri, Beopsu-Myeon (vi) Other (specify): Haman-Gun, Gyeongnam, South korea (vii) Y-code: Y31 / Y34 H11/H6 (viii) H-code (5): Contact person: Sung Ho, Byun (ix) UN class (5): class 8, class 9 Tel: 82-32-851-8858 Fax: 82-32-851-8868 (x) UN Number: UN 2794, UN2800 (xi) UN Shipping name: Email: info@simajor.com (xii) Customs code(s) (HS): 8548-10-0540 Actual site of disposal/recovery: 15. (a) Countries/states concerned (b), code N° of competent authorities where applicable (c), specific points of exit or entry (border crossing or port) State(s) of Transit (entry and exit) State of Import State of Export (a) USA01 KOR2 ,Busan Port, Korea (b)SEE ANNEX (3) Incheon Port, Korea Kwangyang Port, Korea 16.Customs offices of entry and/or departure (European Community): Export: Entry: Exit: 17. Exporter's / Generator's (1) declaration: I certify that the information is complete and correct to my best knowledge. I also certify that legally-enforceable written contractual obligations have been entered into and that any applicable insurance or other financial guarantee is or shall be in force covering the transboundary moveme 18. Number of Exporte's-notifier's name: Jin Mo No Date: February 08, 2013 Signature: annexes attached Generator's-producer's name: Jin Mo No Date: February 08, 2013 Signature: FOR USE BY COMPETENT AUTHORITIES 19. Acknowledgement from the relevant competent 20. Written consent (1,8) to the movement provided by the authority of countries of import / transit (1) / export (9): competent authority of (country): Country: Consent given on: Notification received on: Consent valid from: until: Specific conditions: No: If Yes, see block 21 (6) Acknowledgement sent on: Name of competent authority: Name of competent authority: Stamp and/or signature: Stamp and/or signature: 21. SPECIFIC CONDITIONS ON CONSENTING TO THE MOVEMENT OR REASONS FOR OBJECTING (1) Required by the Basel Convention See list of abbreviations and codes on the next page (2) In case of R12/R13 or D13-D15 operation, also attach corresponding information Attach details if necessary on the subsequent R1-R11 or D1-D12 facilit(y)ies when required. (7) Attach list if more than one (3) To be completed for movements within the OECD area and only if B(ii) applies. If required by national legislation (4) Attach detailed list if multiple shipments (9) If applicable under the OECD Decision

ANNEX 1

Item # 9: Waste Generators:

1 Company: ALFA ENTERPRISES USA INC Address: 2451 MCMULLEN BOOTH ROAD

CLEARWATER, FI 33759

Contact Person: DEEPESH OBEROI

Tel: 727 725 3858 Fax: 727 490 4409

Email: alfaenterprisesusa@gmail.com

2 Company: AABCON

Address: 4140 Fountainside Ln, # 102, Fairfax,

VA 22030, USA

Contact Person: Dhaval Shrimankar

Tel: 703 944 7878 Fax: 703 991 7686

Email: info@aabcon.com

3 Company: HARVEST UNIVERSAL CORPORATION

Address: 3657 Nina Court, Loganville,

GA 30052

Contact Person: Albert Bar

Tel: 770 554 0621 Fax: 770 554 9483

Email: albertbaw@bellsouth.net

4 Company: BULLFROG AUTO TRANSPORT LLC

Address: 906 Napoleon Rd., Bowling Green

Ohio 43402

Contact Person: Matthew Fagan

Tel: 419-409-0677 Fax: 419 352 7117

Email: matthewfagan@gmail.com

5 Company: INTERNATIONAL CORE SUPPLY

Address: 3417 E. Columbus Dr.

Tampa, FI 33605

Contact Person: Tee Sethi

Tel: 813 247 5811 Fax 812 514 1179

Email: tee24ics@aol.com

ANNEX 1

Item # 11: Disposal/ Recovery Operation Technology employed:

Plastic container is sent to a plastic recycler

Lead Plates *Paste - to a smelter

*Grids - Melting Furnace

Lead Terminals - Melting Furnace

Plate Separators - converted to a Fuel Source by another recycler

Acid Electrolyte is Neutralized, discharged and reclaimed

^{***}Above Technology is applied by the recovery facility in the importing country, all Lead Acid Battery Scrap exported are whole, intact and not broken.

Item # 15: State of Export:

- Baltimore, Maryland
- · Charleston, South Carolina
- Honolulu Harbor, Hawaii (New)
- Houston / Galveston, Texas
- Jacksonville, Florida
- Long Beach / Los Angeles, California
- Miami, Florida
- New York, New York
- Port of Anchorage, Alaska (New)
- Port Everglades, Florida
- Port of Virginia / Norfolk, Virginia
- San Francisco/Oakland, California
- San Juan Port, Puerto Rico (New)
- Savannah, Georgia
- Seattle / Tacoma, Washington
- Wilmington, North Carolina
- Guam Port, Guam
- Wilfred Bomba Allick Port, Virgin Islands

Feb U7 13 U5:25p smi 5629269955

February 7, 2013

*** Please deliver to Ms. Jana Tatum ASAP. (2 pages including this sheet)

ATTN: OECD Export Notification/ Ms. Jana Tatum
Office of Enforcement and Compliance Assurance
International compliance Assurance Division

Fax: 202 564 0025

From: SMI USA/ Michelle Cho Email: mhcho2007@hotmail.com

Fax&phone: 562 926 9955/714 928 8506

Hello.

Jana, please review the revised Notification page. I emailed you the scan copy too.

I appreciate your help.

Michelle Cho SMI USA